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STUDY ON CBOS CAPABILITY FOR SUSTAINABLE MANAGEMENT OF RURAL WATER SUPPLY SCHEMES

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A Dissertation Submitted in Partial Fulfillment of the Requirement for the Master of Science Degree in Environmental Engineering and Management



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Declaration

I certify that this dissertation does not incorporate without knowledgement by any material previousely submitted for Degree or Deploma in any university and to the best of my knowledge and belief it does not contain any material previousely published or written or orally communicated by another person except where due reference is made in the text.



To the best of my knowledge the above perticulars are correct.

UOM Verified Signature
Prof. (Mrs.) N. Ratnayake

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Abstract

Sixty per cent (60%) of the rural population living in the country does not have access to safe and adequate water supply facilities. The rural population is 75% of the total population as per the 2001 census records. The lack of access to safe drinking water directly relates to health, hygiene and income generation of rural community in Sri Lanka. Target Ten of the Millennium Development Goals (MDGs) is to "halve, by 2015, the portion of population without sustainable access to safe drinking water and basic sanitation". If this target to be achieved, development of rural water supply, sanitation and hygiene are required as more than 70% of poor living in rural areas. This has promoted the government and donors to increase user involvement in water supply sector. In the past two decades many rural water supply projects have been implemented with donor assistance. Experience gained from those projects had provided guidance towards implementation policies in rural water supply and sanitation. The challenge facing the water sector today is how to scale up these experiences in order to meet the MDGs.

Increased financing is clearly needed, but that alone will not meet the challenge. Client capacity to ensure the sustainability of investments is equally important as evident from the experience of many failures made by rural water supply schemes during the last two decades. Therefore development of policies and strategies is needed with the understanding that water supply is a service and the user community should be considered as the core of sustainability. The rural water supply projects were implemented successfully with community involvement during the construction phase with less emphasis on operation and management. However, during the operation and maintenance period, these projects had faced problems and consequently some of these schemes were abandoned within a period of 5 years or less.

The policy for the rural water supply and sanitation sector has recognized the value of water and the need for institutional arrangement for the efficient management of facilities with community participation and the stakeholders. According to the Rural Water Policy, the users should be encouraged to own and manage the facilities and assets.

There are seventy-nine community water supply schemes in the Monaragala district including five small towns implemented by the ADB assisted 3rd project completed in the years 2003 to 2005 with community involvement. The community should undertake O&M as per the project policies. Though the ADB assisted 3rd project paid attention to sustainability from the start, there are many examples of CBOs failing in O&M. Further criticism from some local government bodies and the National Water Supply and Drainage Board devalued the capacity of CBOs to undertake O&M of completed WSS.

There is strong evidence that supporting agencies are not giving assistance to the CBOs during O&M and the CBOs with committed staff exist many years as if in construction stage. Technical, financial and managerial activities of water supply schemes are directly related to sustainable O&M. Therefore it is needed to assess the CBO's capability in managing water supply schemes with respect to technical, financial, institutional, social and environmental sustainability during the operational stage, in order to ensure sustainability of the project. Even though some indicators for measuring the overall performance of rural water supply schemes have been

developed, a structured methodology is needed to assess the capability of the CBO's in the above aspects.

To assess capabilities of the CBOs for sustainable rural water management a study was undertaken in the Monaragala and Badulla districts selecting eleven water supply schemes managed by the CBOs. The research focused on the CBOs capability in five main areas for sustainability of water supply schemes; 1) Technical sustainability, 2) Financial sustainability, 3) Institutional sustainability, 4) Social sustainability, 5) Environmental sustainability. Data were collected from eleven water supply schemes maintained by CBOs by interviewing consumers and officers on a questionnaire and a structured format respectively. These values were compared with the acceptable values of the indicators in order to assess the technical, financial, institutional, social, environmental and overall sustainability of each scheme.

Sub indicators of each area were analyzed to assess the capability of the CBOs. Qualitative and quantitative analysis of the structured interviews provided insight into the views of the community and officers of the CBOs. The results obtained this way were tested against the situational observations of each scheme in order to check the validity of the specially developed methodology.

The more salient findings were; According to the results of the developed methodology only one scheme was found a failure which was Wila Oya Vilage WSS and the sustainability of Athimale WSS cannot be assured and need urgent attention for the improvement of performance indicators related to financial sustainability and all the other schemes are on the sustainable track with respect to the management of the CBOs. It is identified in the assessment using the developed methodology, most of the CBOs are capable of managing WSS assuring technical, financial, institutional, Social and Environmental sustainability; Inappropriate filtration facilities implemented due to financial constraints, water quality variation and reduction in extraction capacity of deep production bore hole wells being impediments for the capability of the CBOs for sustainable rural water management.

The methodology developed in this study to assess sustainability of WSS covers almost all the important areas of managing WSS by the CBOs. The indicators to be evaluated in the methodology should be assessed from the views of both officers of the CBO and the sample of consumers. The results of the assessment using this methodology in the study recommend that the CBOs are capable of managing WSSs with an assurance of sustainability. The methodology can be implemented for other WSSs managed by the CBOs to assess the capability of the CBO in managing WSS and also can be adopted for future projects implementation policies.

In the study it was identified that it was necessary to establish a support service mechanism for the CBOs during the O&M.

Table of Contents

| Ackn | owledge | ements | IV |
|-------------------|-----------|---|-------|
| Abstı | ract | | V |
| Table of contents | | | VII |
| List o | of Figure | es | XIV |
| List o | of Table: | s | XV |
| List o | of Graph | ns | XVII |
| List o | of Annex | xes | XVIII |
| Abbr | eviation | s | XIX |
| Chap | oter On | e: Introduction | |
| 1.1 | Backg | ground | 1 |
| 1.2 | Devel | lopment of Rural Water Supply Sector | 2 |
| 1.3 | Rural | Water Supply Policy | 3 |
| 1.4 | Woul | d Bank and ADB funded project implementation Strategy | 4 |
| | 1.4.1 | Project approach | 4 |
| | 1.4.2 | Cost Sharing Model versity of Moratuwa, Sri Lanka. | 5 |
| | 1.4.3 | Development of Community Based Organizations for sustainability of water supply schemes | 6 |
| | 1.4.4 | Institutional support system for Community Based | 6 |
| | | Organizations | |
| 1.5 | Proble | em Statement | 7 |
| 1.6 | Objec | ctive of the Study | 8 |
| Chap | ter Tw | o: Theoretical Framework and Reviewed Literature | |
| 2.1 | Appro | oaches in Rural Water Supply | 9 |
| | 2.1.1 | Centralized System Approach | 9 |
| | 2.1.2 | Community Development Approach | 9 |
| 2.2 | Comm | nunity Involvement in RWS | 10 |
| 2.3 | Comn | nunity management | 11 |
| | 2.3.1 | What is community management | 11 |
| | 2.3.2 | Need of community management | 12 |
| | 2.3.3 | Failures in community management | 12 |

| 2.4 | Terms used in Community management | 13 |
|------|--|----------|
| 2.5 | Sustainability of Rural Water Supply Scheme | 13 |
| | 2.5.1 Definition of Sustainability in Rural Water Supply | 13 |
| | 2.5.2 Development of Sustainability of Rural Water Supply | 16 |
| | 2.5.3 Field Experience to Develop Systems | 17 |
| | 2.5.3.1 Lessons learnt from World Bank funded project | 17 |
| 2.6 | Performance indicators for sustainable water supply schemes | 18 |
| Chap | oter Three: Methodology | |
| 3.1 | Study Approach | 21 |
| 3.2 | Selection Criteria of the Water Supply Schemes | 22 |
| 3.3 | Development of Performance Indicators for Assessment of | 25 |
| | Sustainability and Acceptable Limits of the Performance Indicators | |
| | 3.3.1 The General Performance Indicators | 25 |
| | 3.3.2 Other Performance Indicators | 25 |
| | 3.3.2.1 The Performance Indicators Related to Technical Sustainability | 26 |
| | 3.3.2.2 The Performance Indicators Related to Financial Sustainability | 27 |
| | 3.3.2.3 The Performance Indicators Related to Institutional Sustainability | 28 |
| | 3.3.2.4 The Performance Indicators Related to social Sustainability | 28 |
| | 3.3.2.5 The Performance Indicators Related to environmental Sustainabili | ty 29 |
| 3.4 | The activities in the field study | 29 |
| | 3.4.1 Questionnaires and Structured Formats for Data Collection | 29 |
| 3.5 | Sample | 34 |
| | 3.5.1 Sample of Consumers | 34 |
| 3.6 | 3.5.2 Nature of the Respondents Included in the Sample CBO Officers Process of Interview and Data Tabulation | 34 34 |
| | 3.6.1 Approach to field work | 34 |
| | 3.6.2 Data tabulation | 34 |
| Chap | oter Four: Observations and Data Analysis | |
| 4.1 | Brief description of schemes selected for the study | 35 |
| | 4.1.1 Athimale Village Water Supply Scheme | 35 |
| | 4.1.2 Horabokka Village Water Supply Scheme | 35 |

| | 4.1.3 | Ulugala Village Water Supply Scheme | 35 |
|-----|--------|--|----|
| | 4.1.4 | Udamallahawa Village Water Supply Scheme | 36 |
| | 4.1.5 | Mudiyala Village Water Supply Scheme | 36 |
| | 4.1.6 | Kotagama Village Water Supply Scheme | 37 |
| | 4.1.7 | Koulara South Village Water Supply Scheme | 37 |
| | 4.1.8 | Kiriibbanwewa Small Town Water Supply Scheme | 37 |
| | 4.1.9 | Waliyaya Village Water Supply Scheme | 38 |
| | 4.1.10 | Wilaoya Village Water Supply Scheme | 38 |
| | 4.1.11 | Haliala Small Town Water Supply Scheme | 39 |
| 4.2 | Socio | Economic Data | 39 |
| 4.3 | Gener | al Indicators of Schemes | 40 |
| | 4.3.1 | Collection Efficiency | 40 |
| | 4.3.2 | Income to Cost Ratio | 42 |
| | 4.3.3 | Metering Efficiency | 43 |
| | 4.3.5 | None Revenue Water | 44 |
| | 4.3.5 | Per capita Consumption | 45 |
| | 4.3.6 | Average Supply Hours Average Supply Hours Theses & Dissertations | 46 |
| | 4.3.7 | Comparison of general performance indicators of the | 48 |
| | | schemes with the acceptable limits | |
| 4.4 | The of | ther Performance Indicators | 49 |
| | 4.4.1 | The Performance Indicators Related to Technical Sustainability | 49 |
| | 4.4 | 1.1.1 All Metered Connections | 49 |
| | 4.4 | 1.1.2 Bulk Meter Available and Working | 49 |
| | 4.4 | 1.1.3 Replace Defective Meters | 49 |
| | 4.4 | 1.1.4 Keep Records on Water Production and Consumption | 49 |
| | 4.4 | 1.1.5 Monitor and Concern on Water Production and Consumption | 49 |
| | 4.4 | 1.1.6 Get Technical Assistance for Extensions | 50 |
| | 4.4 | 1.1.7 No Water Interruption | 50 |
| | 4.4 | 1.1.8 Not Exceed Design Connections | 50 |
| | 4.4 | 1.1.9 Attend for Breakdown Immediately | 50 |
| | 4.4 | 1.1.10 Keep Records in Pump Panel Board/ Keep Records in | 50 |

Maintenances of the Intake

| 4.4.1.11 Availability of Spare Parts | 51 |
|--|----|
| 4.4.1.12 Availability of Tools and Equipments | 51 |
| 4.4.1.13 Availability of Additional Water Meters | 51 |
| 4.4.1.14 Availability of Pipes and Pipe Fittings for Repairs | 51 |
| 4.4.1.15 Maintains Paid, Trained Staff | 51 |
| 4.4.2 The Performance Indicators Related to Financial Sustainability | 51 |
| 4.4.2.1 No of Audits / No of Years after commission x 100 | 51 |
| 4.4.2.2 Good Book Keeping | 53 |
| 4.4.2.3 No Complaints on Miss Use of Funds | 53 |
| 4.4.2.4 Final Accounts are Approved by the Community | 53 |
| 4.4.2.5 Transactions are Checked by Financial Committee | 53 |
| 4.4.2.6 Bank Balance Increases | 53 |
| 4.4.2.7 Maintain Two Bank Accounts | 53 |
| 4.4.2.8 Revising Tariff Periodically | 53 |
| 4.4.2.9 Has Other Income Generation Methods a, Sri Lanka. | 54 |
| 4.4.2.10 No Additional Cost of Operation Dissertations | 54 |
| 4.4.2.11 Apply Good Collection Methods | 54 |
| 4.4.3 The Performance Indicators Related to Institutional Sustainability | 54 |
| 4.4.3.1 Act for Illegal Connections and for Bill Outstanding Water | 54 |
| Connections 4.4.3.2 Conduct Annual General Meetings | 54 |
| 4.4.3.2 Conduct Annual General Meetings | 54 |
| 4.4.3.3 No of Occasions CBO's Officers Changed / Years After Commissioning x 100 | 55 |
| 4.4.3.4 Continuous Training | 55 |
| 4.4.3.5 Community Groups Dominating CBO | 55 |
| 4.4.3.6 Officers Getting More Benefits | 56 |
| 4.4.3.7 No Political Interference | 56 |
| 4.4.3.8 Participation in Meetings | 56 |
| 4.4.3.9 Insurances Assets | 56 |

| | 4.4.4 | The Performance Indicators Related to Social Sustainability | 56 |
|-----|----------|--|----|
| | 4.4 | .4.1 Maintains an Office | 56 |
| | 4.4 | .4.2 Immediate Attend for Complaints | 56 |
| | 4.4 | .4.3 Involves Social Development Activities | 56 |
| | 4.4 | .4.4 Poorest Consideration | 57 |
| | 4.4 | .4.5 Gender Balance | 57 |
| | 4.4.5 | The Performance Indicators Related to Environmental Sustainability | 57 |
| | 4.4. | 5.1 Do Water Quality Monitoring | 57 |
| | 4.4. | 5.2 No Complains on Water Borne Disease | 57 |
| | 4.4. | 5.3 Coordinate with Other Users of the Water Source or | 57 |
| | | Coordinate with Stakeholders for Additional Water Source. | |
| | 4.4 | .5.4 Preventive Measures for Water Quality Deterioration in the | 57 |
| 4.5 | Compari | sion of perfomance Indicators of the Eleven Schemes with the | 58 |
| | Acceptal | ble Limits. | |
| | 4.5.1 | The Performance Indicators Related to Technical Sustainability | 59 |
| | 4.5.2 | The Performance Indicators Related to Financial Sustainability | 61 |
| | 4.5.3 | The Performance Indicators Related to Institutional Sustainability | 63 |
| | 4.5.4 | The Performance Indicators Related to Social Sustainability | 66 |
| | 4.5.5 | The Performance Indicators Related to Environmental Sustainability | 67 |
| 4.6 | Result | s of the Field Surveys | 68 |
| | 4.6.1 | Athimale Village Water Supply Scheme | 67 |
| | 4.6.2 | Horabokka Village Water Supply Scheme | 68 |
| | 4.6.3 | Ulugala Village Water Supply Scheme | 70 |
| | 4.6.4 | Udamallahawa Village Water Supply Scheme | 71 |
| | 4.6.5 | Mudiyala Village Water Supply Scheme | 72 |
| | 4.6.6 | Kotagama Village Water Supply Scheme | 73 |
| | 4.6.7 | Koul-Ara South Village Water Supply Scheme | 74 |
| | 4.6.8 | Kiriibbanwewa Small Town Water Supply Scheme | 75 |
| | 4.6.9 | Waliyaya Village Water Supply Scheme | 77 |
| | 4.6.10 | Wila Oya Village Water Supply Scheme | 78 |

| | 4.6.11 Haliela Small Town Water Supply Scheme | 79 |
|-----------------------------------|---|-----|
| Chap | pter Five: Discussion | 81 |
| 5.1 | Identification of Issues that Seems to be Impediments to Sustainability | 82 |
| | and Mitigating Measures | |
| | 5.1.1 Major technical issues | 82 |
| | 5.1.2 Consumer proposals for solving technical issues | 83 |
| | 5.1.3 Community view on responsibility for solving technical issues | 83 |
| | 5.1.4 Community response on water source issues | 84 |
| | 5.1.5 Community response on effects of the issues | 84 |
| | 5.1.6 Community proposals for solving water source issues | 85 |
| | 5.1.7 Community proposals for future development of WSS | 85 |
| | 5.1.8 Average water billing and community view on affordability | 86 |
| | 5.1.9 Community view on performance of CBOs | 87 |
| | 5.1.10 Community view on service satisfaction | 87 |
| | 5.1.11 Ways of interaction | 88 |
| | 5.1.12 Community view on water borne diseases, water quality | 88 |
| | impact and hygiene education. Theses & Dissertations | |
| 5.2 | www.lib.mrt.ac.lk Assessment of Capability of CBO for Technical Sustainability of WSS | 89 |
| 5.3 | Assessment of Capability of CBO for Financial Sustainability of WSS | 93 |
| 5.4 | Assessment of Capability of CBO for Institutional Sustainability of WSS | 95 |
| | Assessment of Capability of CBO for Social Sustainability of WSS | 97 |
| 5.55.6 | Assessment of Capability of CBO for Environmental Sustainability of WSS | 99 |
| | Assessment of Capability of CBO for Environmental Sustainability of WSS Assessment of Sustainability Grade of CBOs in Managing WSS | 101 |
| 5.75.8 | Results of the Assessment of Capability of CBOs in Managing WSS. | 101 |
| 5.9 | Impediments Affecting the Capability of CBOs for Sustainable | 103 |
| 3.9 | | 105 |
| | Management of WSS 5.0.1 Appropriatoress of treatment facilities | 104 |
| | 5.9.1 Appropriateness of treatment facilities5.9.2 Reduction in extraction capacity in water sources | 104 |
| | 5.9.2 Reduction in extraction capacity in water sources5.9.3 Water quality variation in water sources | 104 |
| | 5.9.4 Failures in planning and construction | 105 |
| | J.J. Tanutes in planning and consulcation | |

| 5.10 | Necessity of Establishing Support Service Mechanism during O&M | 105 | |
|---|--|-----|--|
| Chap | oter Six: Conclusions and Recommendations | | |
| 6.1 | Methodology to assess capability of CBOs in managing WSS | 107 | |
| 6.6 Establishment of support service mechanism during O&M | | 109 | |
| Refe | rences | 110 | |
| Арре | Appendices 1 | | |



List of Figures

| Figure 2.1 | Theoretical framework for sustainability of water supply scheme |
|------------|--|
| Figure 2.2 | Sub indicators of each management area |
| Figure 3.1 | Flow chart of the adopted methodology |
| Figure 3.2 | Locations of the selected schemes in Monaragala district |
| Figure 3.3 | Location of the selected scheme in Badulla district |
| Figure 5.1 | Relationships of technical issues to sustainable areas of water supply |
| | management |



List of Tables

| Table 1.1 | Cost sharing model |
|------------|---|
| Table 3.1 | General information on eleven schemes |
| Table 3.2 | Acceptable limits of the general performance indicators |
| Table 3.3 | The performance indicators related to technical sustainability |
| Table 3.4 | The performance indicators related to financial sustainability |
| Table 3.5 | The performance indicators related to institutional sustainability |
| Table 3.6 | The performance indicators related to social sustainability |
| Table 3.7 | The performance indicators related to environmental sustainability |
| Table 3.8 | Questions asked from the consumers of the CBOs |
| Table 3.9 | Questions asked from the officers of the CBOs |
| Table 3.10 | Format for collection of information from CBO offices. |
| Table 3.11 | Format for collection of information related to technical sustainability from |
| | CBO offices. |
| Table 3.12 | Format for collection of information related to financial sustainability from |
| | CBO offices. University of Moratuwa, Sri Lanka. |
| Table 3.13 | Format for collection of information related to institutional sustainability from |
| | CBO offices. www.lib.mrt.ac.lk |
| Table 3.14 | Format for collection of information related to social sustainability from CBO |
| | offices. |
| Table 3.15 | Format for collection of information related to environmental sustainability |
| | from CBO offices. |
| Table 4.1 | Information related to assess the socio economic background. |
| Table 4.2 | General performance indicators of each scheme |
| Table 4.3 | The performance indicators related to technical sustainability |
| Table 4.4 | The performance indicators related to technical sustainability continue. |
| Table 4.5 | The performance indicators related to financial sustainability |
| Table 4.6 | The performance indicators related to financial sustainability continue |
| Table 4.7 | The performance indicators related to institutional sustainability |
| Table 4.8 | The performance indicators related to institutional sustainability continue. |
| Table 4.9 | The performance indicators related to social sustainability |

| Table 4.10 | The performance indicators related to social sustainability continue. |
|------------|--|
| Table 4.11 | The performance indicators related to environmental sustainability |
| Table 4.12 | The performance indicators related to environmental sustainability continue. |
| Table 5.1 | The methodology to assess technical sustainability |
| Table 5.2 | The methodology to assess financial sustainability |
| Table 5.3 | The methodology to assess institutional sustainability |
| Table 5.4 | Methodology to assess social sustainability |
| Table 5.5 | Methodology to assess environmental sustainability |
| Table 5.6 | Assessment of the sustainability grade of the CBO managed WSS |
| Table 5.7 | Summery of marks obtained by schemes in each sustainable area with the |
| | sustainability grade. |
| Table 5.8 | Impediments identified in the study affecting sustainability of WSSs |



List of Graphs

| Graph 4.1 | Collection to billing ratio (%) |
|------------|--|
| Graph 4.2 | Income to cost ratio (%) |
| Graph 4.3 | Water meters operating efficiency |
| Graph 4.4 | NRW (%) |
| Graph 4.5 | Per capita consumption |
| Graph 5.5 | Average supply hours |
| Graph 4.6 | Audits per years of commissioned (%) |
| Graph 4.7: | Yearly change of staff after commissioning (%) |



List of Appendices

Appendix A

- Table A1: Community response on major technical issues.
- Table A2: Community proposals on solving technical issues.
- Table A3: Effects of the technical issues.
- Table A4: Community view on responsible for solving issues.
- Table A5: Community responses on water source issues.
- Table A6: Community proposals on solving water source issues.
- Table A7: Community proposals on future development of WSS.
- Table A8: Average monthly billing and community view on affordability.
- Table A9: Community view on performance of the CBOs.
- Table A10: Service satisfaction of the Community
- Table A11: Ways of interaction.
- Table A12: Community view on water borne diseases.
- Table A13: Participation in hygiene education.
- Table A14: Necessity of training on hygiene education. Was Sri Lanka

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Appendix B

Information for calculating performance indicators of eleven schemes

Appendix C

Assessment of Capability of CBOs for Technical Sustainability of WSS.

Assessment of Capability of CBOs for Financial Sustainability of WSS.

Assessment of Capability of CBOs for Institutional Sustainability of WSS.

Assessment of Capability of CBOs for Social Sustainability of WSS.

Assessment of Capability of CBOs for Environmental Sustainability of WSS.

Abbreviations

ADB - Asian Development Bank.
CAP - Community Action Plan

CBO - Community Based Organization.

Cum - Cubic meters.

CWSSP - Community Water Supply and Sanitation Project.

DCC - District Coordination Committee
DIU - Divisional Implementation Unit

DLCC - District Level Coordination Committee

GND - Grama Niladari Division

IDWSS - International Decade for Water Supply and Sanitation

MDG - Millennium Development GoalsMOU - Memorandum of understanding

MPA - Methodology for Participatory Rural Appraisal

MOU - Memorandum of Understanding
NGO - Non Governmental Organization

NRW - Non Revenue Water

NSC - National Level Stirring Committee

NWS&DB - National Water Supply & Drainage Board | Lanka

O & M - Operations & Maintenance & Dissertations

PHI - Public Health Inspector ac lk
PIU - Project Implementation Unit

PMU - Project Management Unit

PO - Partner Organization

PS - Pradeshiya Sabha

PRA - Participatory Rapid Appraisal

RWS - Rural Water Supply
TOR - Terms of Reference
TOT - Training of Trainers

TWSSP - Third Water Supply and Sanitation (Sector) Project

WHO - World Health Organization

WSS - Water Supply Scheme