SEISMIC VULNERABILITY ASSESSMENT OF MASONRY INFILLED REINFORCED CONCRETE SCHOOL BUILDING FRAMES IN SRI LANKA

Mathavanayakam Sathurshan

(218112V)

Degree of Master of Science

Department of Civil Engineering

University of Moratuwa Sri Lanka

November 2022

SEISMIC VULNERABILITY ASSESSMENT OF MASONRY INFILLED REINFORCED CONCRETE SCHOOL BUILDING FRAMES IN SRI LANKA

Mathavanayakam Sathurshan

(218112V)

Dissertation submitted in partial fulfillment of the requirement for the degree of Master of Science in Structural Engineering

Department of Civil Engineering

University of Moratuwa Sri Lanka

November 2022

DECLARATION

"I declare that this my own work and this dissertation does not incorporate without acknowledgement any material previously submitted for a Degree or Diploma in any other university or institute of higher learning and to the best of my knowledge and belief it does not contain any material previously published or written by other person except where the acknowledgement is made in the text.

Also, I hereby grant to University of Moratuwa the non-exclusive right to reproduce and distribute my dissertation, in whole or in part in print, electronic r other medium. I retain the right to use this content in whole or part in future works."

Signature:	Date:// 2023 03 18
The above candidate has carried out this research for my supervision.	the Master Dissertation under
Name of the Supervisor: Prof. H. M. Y. C. Mallikarach	nchi
Signature of the supervisor:	Date:19 / .03 / 2023
Name of the Supervisor: Dr. J. A. Thamboo	
Signature of the supervisor:	Date: .19/2023
Name of the supervisor: Prof. K. K. Wijesundara	
Signature of the supervisor UOM Verified Sign	ature te:

ACKNOWLEDGEMENT

I would like express my profound gratitude to **Prof. H. M. Y. C. Mallikarachchi** of the University of Moratuwa for accepting me as an MSc student and for his unfailing support throughout the wonderful trip. Also, the technical and administrative assistance he provided and his constant presence made it possible for me to fulfil aim of the study.

Also, I want to extent my sincere thanks to co-supervisor **Dr. J. A. Thamboo** at the South Eastern University of Sri Lanka for his guidance, for always standing as a true mentor throughout my research career, and for "opening the door for discussion" on any topic whenever I needed it and enlightened the contretemps. Equally, I would want to sincerely thank my co-supervisor **Prof. K. K. Wijesundara** at the University of Peradeniya, for his encouragement, untiring technical advice, constructively critical comments during the progress meetings and contributions significantly helped to achieve the aim of this study.

Next, I would also like to thank **Emeritus Prof. W. P. S. Dias** of the University of Moratuwa for serving as chairperson of the progress review committee and providing timely feedback on this project. I should also mention **Dr. T. M. N. Wijayaratna** for his support in the progress review meetings.

I wish to thank the Faculty of Graduate Studies, the University of Moratuwa for the opportunity to pursue my postgraduate studies. Also, I would like to acknowledge the financial assistance from the **National Academy of Sciences of Sri Lanka (NASSL)** in laying a foundation for my dream of a postgraduate research career. I also like to acknowledge that some of the school field surveys were funded by the **UK Global Challenge Research Fund project ReSCOOL** (Resilience of Schools to Extreme Coastal FlOOding Loads), awarded to **Prof. Tiziana Rossetto**, from University College London, by Research England; award No. 177813.

Moreover, I want to thank **Jonas Cels**, a doctoral candidate at University College London, for enlightening the OpenSees programme and clearing doubts at any time. The school building data acquisition would have been impossible without the help of E15 undergraduate students from the Department of Civil Engineering, South Eastern University of Sri Lanka: **B. M. N. D. Basnayaka**, **S. M. Faheem**, **A. Madursan**, **B. B. S. Bandara**, **D. G. H. S. Kalpage**, **D. M. N. S. Dasanayake**, and **T. P. A. Punyawardana**. I thank them all for their contributions to this study.

Last but far from least, I want to thank my family members and friends for their encouragement and immense patience until the end of this work.

ABSTRACT

Sri Lanka is considered as an aseismic country, hence the seismic risk is not explicitly considered in the planning and designing of critical structures. However, current studies indicate that the seismic risk cannot be completely omitted when designing buildings in Sri Lanka, particularly post-disaster structures like schools and hospitals that should be designed to withstand any potential seismic action. Meanwhile, assessing the seismic risk of all the critical structures in depth across Sri Lanka might not be an easy task, and therefore, the creation of a rapid assessment method would help to effectively screen the buildings which are seismically vulnerable.

Therefore, in this study, an attempt was made to assess the seismic vulnerability of school buildings in Sri Lanka in detail by incorporating possible variations and proposing an alternate Rapid Visual Screening method (RVS) for Sri Lankan conditions by incorporating FEMA P-154 guidance.

In order to study the existing school building typologies, detailed structural surveys were carried out across Sri Lanka in selected school buildings. The survey revealed that school buildings in Sri Lanka can be characterised as reinforced concrete (RC) frames, infilled with unreinforced masonry walls (MI). Based on the structural configurations, mainly two building typologies were found as (1) Type 01 and (2) Type 02. Nonetheless, in terms of MI arrangements, it was observed that significant variations exist among the school buildings. Therefore, those variabilities were explicitly taken to assess the seismic performance of MI-RC school buildings.

The seismic performance of the school buildings was analysed using the OpenSees (OS) finite element programme. The torsional effects and post-processing as shear capacity and stochastic material properties (concrete, steel, and masonry) from Monte-Carlo simulation were incorporated in this study. The modal analysis and non-linear static pushover analysis were carried out, in which a total of 640 building cases were analysed.

The analyses of pushover (PO) and seismic fragility revealed that the Type 02 buildings exhibit significantly better performance than the Type 01 buildings. Also, the variation in MI arrangements significantly influences the seismic resistance of the buildings. In addition, the application of the proposed RVS method is effective to carry out the seismic screening method of school buildings in Sri Lanka.

Keywords:

School buildings, Non-linear static pushover, Seismic performance assessment, Seismic Fragility assessment and Rapid visual screening method

TABLE OF CONTENTS

DECLARATION	II
ACKNOWLEDGEMENT	III
ABSTRACT	IV
TABLE OF CONTENTS	V
LIST OF FIGURES	IX
LIST OF TABLES	XII
LIST OF ABBREVIATIONS	XIV
1. INTRODUCTION	1
1.1 Background	1
1.2 Significant of the research	2
1.3 Aim and Objectives	2
1.4 Organisation of the thesis	3
2. LITERATURE REVIEW	4
2.1 Seismicity in and around Sri Lanka	4
2.2 Studies related seismic risk of infrastructure in Sri Lanka	6
2.2.1 Seismic assessment of school buildings - Local context	7
2.2.2 Seismic assessment of school buildings - Global context	8
2.3 Rapid seismic evaluation methods	11
2.3.1 The Regional based RVS - Guidelines	13
2.3.1.1 Unite States method (FEMA P-154)	13
2.3.1.2 New Zealand method (NZSEE)	14
2.3.1.3 Canadian RVS (NRC92)	15
2.3.1.4 Other RVS methods	16
2.4 Development of rapid visual screening method	24

	2.4.1 Development of basic score and score modifiers	. 24
	2.4.1.1 Basic score for RC-MI buildings (C3)	. 24
	2.4.1.2 Score modifiers for RC-MI buildings (C3)	. 27
	2.5 Summary	. 29
3	8. METHODOLOGY	.30
4	SURVEY OF SELECTED SCHOOL BUILDINGS	.32
	4.1 List of Schools Surveyed	.32
	4.2 Identified typologies	.33
	4.2.1 Typologies based on the storey level	.33
	4.2.2 Typologies based on arrangements	.34
	4.3 Material and sectional properties	.35
	4.4 Infill (MI) configuration	.37
	4.5 Summary	.39
5	6. MODELING OF SCHOOL BUILDINGS TYPOLOGIES	40
	5.1 Modeling using OpenSees	40
	5.1.1 Defining beam and column section	41
	5.1.2 Defining slabs (Multi-point constraints)	.42
	5.1.3 Defining foundation (Single point constraints)	43
	5.2 Modelling of MI	.44
	5.3 Incorporating material variations	.47
	5.3.1 Material characteristics from survey	47
	5.3.2 Material uncertainty characterisation	47
	5.4 Pushover analysis and post processing of results	.49
	5.4.1 Pushover analysis (PO)	.49
	5.4.2 Post processing	. 53
	5.4.2.1 Sectional moment capacity validation	.54

	5.5 Seismic performances of the school buildings typologies	55
	5.5.1 Transverse direction (Y-direction)	55
	5.5.2 Longitudinal direction (X-direction)	56
	5.5.2.1 Effects of OGS and FGS	56
	5.5.2.2 Effects of typologies (T01 and T02)	57
	5.5.2.3 Effects of MI configurations	59
	5.5.2.4 Effects of material variations	61
	5.6 Summary	63
6	6. DEVELOPING FRAGILITY CURVES	64
	6.1 Defining the limit state for fragility functions	65
	6.2 Development of fragility curves	67
	6.3 Fragility curves	69
	6.4 Damage matrices	72
	6.5 Summary	74
	7. RAPID VISUAL SCREENING (RVS) OF BUILDINGS FOR POTE SEISMIC HAZARDS	
		75
	SEISMIC HAZARDS	75
	7.1 Concept of RVS for school buildings typologies	75 75
	7.1 Concept of RVS for school buildings typologies	75 75 77
	7.1 Concept of RVS for school buildings typologies 7.2 Establishment RVS method for local context 7.2.1 Development of Basic Score	75757778
	7.1 Concept of RVS for school buildings typologies 7.2 Establishment RVS method for local context 7.2.1 Development of Basic Score 7.2.2 Development of Score Modifiers	7575777879
	7.1 Concept of RVS for school buildings typologies 7.2 Establishment RVS method for local context 7.2.1 Development of Basic Score 7.2.2 Development of Score Modifiers 7.2.2.1 Open Ground Storey	757577787980
	7.1 Concept of RVS for school buildings typologies 7.2 Establishment RVS method for local context 7.2.1 Development of Basic Score 7.2.2 Development of Score Modifiers 7.2.2.1 Open Ground Storey 7.2.2.2 Plan Irregularities (Horizontal)	75757778798081
	7.1 Concept of RVS for school buildings typologies	75757879808183

7.3.1 Comparison between Proposed RVS and FEMA P-154	90
7.4 Summary	91
8. SUMMARY AND CONCLUSION	92
8.1 Summary of the study	92
8.2 Key findings	94
8.3 Future research	95
REFERENCES	97
A. Appendix A: selected surveyed school buildings details	108
B. Appendix B: ideal cases	117
C. Appendix C: opensees and monte carlo simulation coding	118
D. Appendix D: adaptation of score modifiers	128

LIST OF FIGURES

Figure 2-1. Seismicity in and around Sri Lanka
Figure 2-2. (a) Longitudinal and (b) transverse fragility curves of immediate and
collapse prevention of three-storey school building (Abeysiriwardena, 2018)7
Figure 2-3. Short column formation due to strong MI
Figure 2-4. Summary of the RVS guidelines from different countries
Figure 2-5. FEMA P-154 Level 1 scoring evaluation table (Low seismicity region) 14
Figure 2-6. IEP procedure to evaluate the NBS%
Figure 2-7, Canadian screening method (NRCC)
Figure 2-8. Turkish (METU) RVS method
Figure 2-9. Indian probability and statistical based RVS
Figure 2-10. Procedure to adapt the basic score and Score modifiers
Figure 2-11. Irregularities in building for score modifiers adaption
Figure 3-1. Methodology of the study
Figure 4-1. Selected survey school buildings in Sri Lanka
Figure 4-2. Some of the surveyed school buildings (two and three storeys)
Figure 4-3. Type-01 building with OGS and FGS illustration
Figure 4-4. Type-02 building with OGS illustration
Figure 4-5. RC section of T01 and T02
Figure 4-6. MI configuration (CW, QO, HO, and TO) in Sri Lankan school buildings
Figure 4-7. Summary of school building surveyed
Figure 5-1. Simplified analysis procedure of OS model
Figure 5-2. Reinforcement layer (confined and unconfined)
Figure 5-3. Beam and column integration points
Figure 5-4. Multi Point constraints (MP constraints)
Figure 5-5. Single Point constraints (SP constraints)
Figure 5-6. Equivalent diagonal strut width of MI
Figure 5-7. 3D model of Type 01 (T01) three storey
Figure 5-8. 3D model of Type 02 (T02) three storey building with HO cases46

Figure 5-9. The distribution of 1000 random samples (a) concrete – normal
distribution, (b) steel – lognormal distribution and (c) masonry – normal distribution
48
Figure 5-10. Iterative pushover analysis procedure for the seismic performance
assessment of MI frames incorporating shear demand parameters
Figure 5-11. Pushover graph of T01 and T02 of Bare frame (BF)
Figure 5-12. PO analysis results of torsional stiffness (TS) and non-torsional stiffness
(NTS) of T01-S03-OGS-SW-CW cases
Figure 5-13. Moment-curvature comparison of OS and Response-2000 results of
column section
Figure 5-14. Peak inter-storey drift ratio of T01-S03 cases with various MI
configuration (CW, QO, HO, and TO) considering OGS and FGS55
Figure 5-15. Y-direction PO curves of BF, QO and HO with different storey level . 56
Figure 5-16. Performance of T01-S03 building with different MI configurations along
the along the <i>x</i> direction
Figure 5-17. Performance of T02-S03 building with different MI configurations along
the <i>x</i> direction
Figure 5-18. Performance of T02-S02 building with different MI configurations along
the x direction60
Figure 5-19. PO curves of T01-S03-FGS-DW-QO buildings with different material
properties
Figure 6-1. Flowchart of the fragility analysis procedure of the RC-MI school
buildings65
Figure 6-2. Variations in the school buildings considered (ideal cases and material
uncertainty)
Figure 6-3. Fragility curve of the school buildings with respect to provinces – X
direction (Western, South, Central, and Eastern provinces
Figure 6-4. Fragility curve of the school buildings with respect to provinces – Y
direction (Western, South, Central, and Eastern provinces)71
Figure 6-5. Damage probability matrices with respect to provinces
Figure 7-1. Selected schools for rapid assessment methods

Figure 7-2. Seismic hazard map for 475 years of return periods (Uduweriya et	al.
(2020))	77
Figure 7-3. Basic score evaluation for Zone- I buildings	78
Figure 7-4. Open Ground Storey of T01 and T02 buildings	80
Figure 7-5. Plan irregularity of the school building	82
Figure 7-6. Vertical Irregularities in School buildings	83
Figure 7-7. Short column effect in school buildings	84
Figure 7-8. Minimum score evaluation (Worst case scenario)	85
Figure 7-9. Preliminary data collection portion in proposed RVS form	86
Figure 7-10. Photograph/ layout portion in proposed RVS form	87
Figure 7-11. Detailed data survey portion in proposed RVS form	87
Figure 7-12. Building risk score portion in proposed RVS form	88
Figure 7-13. Example of sample proposed RVS form	89
Figure 8-1. Summary of the study methods and findings	94

LIST OF TABLES

Table 2-1. Gravity loading condition designed school buildings - Global context	10
Table 2-2. Summary of RVS methods	23
Table 2-3. Collapse factor adapted from FEMA (2020)	27
Table 5-1. Mean material properties	47
Table 5-2. Material COV and the distribution used for the Monte Carlo simulation	on 48
Table 6-1. Definition of damage state of the school building for Sri Lanka	66
Table 7-1. Existing RVS scores/grades of school buildings	76
Table 7-2. Complete MI case (FW) - Basic score evaluation	79
Table 7-3. Open Ground Storey score modifiers	81
Table 7-4. Plan irregularities score modifiers	82
Table 7-5. Vertical irregularities score modifiers	83
Table 7-6. Score modifiers for short column effects	85
Table 7-7. Minimum score of the T01 and T02 buildings	86
Table 7-8. The proposed and FEMA P-154 results	90
Table A-1. Selected surveyed school buildings details	109
Table A-2. School building structural details	111
Table A-3. School building non-structural details	114
Table B-1. T01 building with MI configurations	117
Table D-1. Open Ground Storey effects	128
Table D-2. T01 Score modifiers for Open Ground Storey DW cases	128
Table D-3. T01 Score modifiers for Open Ground Storey SW cases	129
Table D-4. T02 Score modifiers for Open Ground Storey DW cases	129
Table D-5. T02 Score modifiers for Open Ground Storey DW cases	129
Table D-6. Plan Irregularity cases	130
Table D-7. T01 Score modifiers for plan irregularities DW cases	131
Table D-8. T01 Score modifiers for plan irregularities SW cases	131
Table D-9. T02 Score modifiers for plan irregularities DW cases	132
Table D-10. T02 Score modifiers for plan irregularities SW cases	132
Table D-11. Vertical Irregularity cases	133
Table D-12. T01 Score modifiers for Vertical irregularities DW cases	134

Table D-13. T01 Score modifiers for Vertical irregularities SW cases	135
Table D-14. T02 Score modifiers for Vertical irregularities DW cases	136
Table D-15. T02 Score modifiers for Vertical irregularities SW cases	137

LIST OF ABBREVIATIONS

ADRS - Acceleration Displacement Response Spectrum

ATC - Applied Technology Council

CC - Capacity Curve

CDS - Capacity Demand Spectrum method

CF - Collapse Factors
 CW - Central Window
 d - Displacement

Du - Ultimate displacement

DW/SW - Doubly or Single thickness masonry wall

Dy - Yield displacement

FEMA - Federal Emergency Management Agency

FGS - Fully closed Ground Storey
HAZUS - Hazard in United States
HO - Half Opening wall

IEP - Initial Evaluation Procedure
 ISA - Initial Seismic Assessment

JBDPA - Japan Building Disaster Prevention Association

MCS - Monte-Carlo Simulation

METU - Metropolitan Municipality of Istanbul

MI - Masonry Infills

NBS% - New Building Standard percentage
 NLSPA - Non-Linear Static Pushover Analysis
 NRCC - National Building Council of Canada

NSI - Non-Structural IndexOGS - Open Ground Storey

OS - OpenSees

PC - Precast Concrete

PO - Pushover

QO - Quarter Opening wallRC - Reinforced Concrete

RC-MI - Masonry Infilled Reinforced Concrete

RM - Reinforced Masonry
 RVS - Rapid Visual Screening
 S02/S03 - Three or Two Storey
 Sa - Spectral Acceleration
 Sd - Spectral Displacement

SI - Structural Index

TO1/TO2 - Type-01 or Type-02 buildings
TO - Three-quarter Opening wall
URM - Unreinforced Masonry

V - Base Shear